



University of Connecticut Health Center

POLICY NUMBER 2002-12

February 25, 2002

**POLICY: GOVERNING STANDARDS
(RESEARCH/SPONSORED PROGRAMS)**

PURPOSE:

2.1 Sponsor Policies - Federal

The award agreement, federal management circulars, UCHC policy, and the agency's guidelines govern conduct of the project.

2.1.1 OMB Circulars

These circulars establish a basis for policy in the management of federally sponsored projects. They include:

- [OMB Circular A-21](#), Cost Principles for Educational Institutions, outlines allowable costs and also prescribes the manner in which universities must determine their indirect cost charges.
- [OMB Circular A-110](#), Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, sets forth administrative procedures, such as financial reporting, the handling of program income, payment requirements, standards for financial management, federal requirements for cost sharing, rebudgeting, and prior approval.
- [OMB Circular A-133](#), Audits of Institutions of Higher Education and Other Nonprofit Institutions, outlines audit requirements for universities and other nonprofit institutions which receive federal funds.
- [Cost Accounting Standards \(Appendix A in OMB Circular A-21\)](#) dictate accounting procedures the University must use in dealing with federal funds.
- [Federal Acquisitions Regulations](#) establishes the procedures University researchers and administrators must use in acquiring materials on federal contracts.

Copies of these documents and other regulations are available at
<http://www.financenet.gov./financenet/fed/omb/omb.htm>

2.1.2 The agency's guidelines

While these circulars establish the principles for project management, each agency may differ in policy application. Guidelines can be obtained from the awarding agency.

2.1.3 The award agreement

Each award comes with an agreement which states terms and conditions specific to that particular project.

2.2 Sponsor policies - Nonfederal

The specific award agreement, together with UCHC policy, usually guides the project's conduct. State agencies, foundations, and private businesses may also publish their own funding guidelines, which are available from the sponsor.

Dan Upton (signed)
Chief Financial Officer

4/10/02
Date

Richard Berlin, MD (signed)
Associate Dean for Research/Planning & Coordination

4/8/02
Date

Peter Deckers, MD (signed)
Executive Vice President for Health Affairs

4/10/02
Date

Replaces: NEW POLICY