



# University of Connecticut Health Center

**POLICY NUMBER 2002-32**

**February 25, 2002**

**POLICY: NEGOTIATION OF AWARDS  
(RESEARCH/SPONSORED PROGRAMS)**

**PURPOSE:**

To ensure that the terms and conditions of agreements for sponsored projects comply with established University policies and to establish authority for negotiations.

**POLICY STATEMENT:**

1. Negotiation of the terms and conditions of sponsored project agreements is the joint responsibility of the Office of Research and Sponsored Programs and the Principal Investigator(s) of the project. When intellectual property issues are involved the Office of Research and Sponsored Programs will involve the Center for Science and Technology Commercialization, when necessary, in the negotiation processes for sponsored research agreements with for-profit organizations.
2. The principal investigator(s) is (are) responsible for the scientific or academic content of the project and must ensure to the Office of Research and Sponsored Programs that the agreement reflects his/her understanding of what is proposed to be accomplished. Likewise, any technical or progress reports or other deliverables must be acceptable to the Principal Investigator(s).
3. The Office of Research and Sponsored Programs is responsible for ensuring that the agreement is in compliance with University policies and that from a business perspective it is an equitable arrangement. The Office of Research and Sponsored Programs may involve other UCHC entities, e.g., to ensure third-party billing, IRB compliance, etc. The budget must be acceptable to the Principal Investigator, his/her department head, the Associate Dean of Research Planning and Coordination, and the Office of Research and Sponsored Programs and the Associate Vice President of Financial Administration – Research.
4. The Office of Research and Sponsored Programs shall consult with the Assistant Attorney General regarding agreements, which raise legal issues or require legal intervention in order to satisfy the terms and conditions of the State of Connecticut.

Dan Upton (signed)  
**Chief Financial Officer**

4/10/02  
**Date**

Richard Berlin, MD (signed)  
**Associate Dean for Research/Planning & Coordination**

4/8/02  
**Date**

Peter Deckers, MD (signed)  
**Executive Vice President for Health Affairs**

4/10/02  
**Date**

**Replaces: NEW POLICY**