



# University of Connecticut Health Center

**POLICY NUMBER #2004-04**

**June 1, 2004**

**POLICY: IRB APPROVAL OF HUMAN RESEARCH CONDUCTED BY  
SUBCONTRACTORS**

**PURPOSE:**

To ensure that all external agreements and/or sub-contractual arrangements related to grants awarded to UCHC (also known as sub-awards) meet the requirements of Human Subject Use compliance regulations.

**POLICY STATEMENT:**

- 1) It is the policy of the Health Center that prior to initiation of any agreements and/or subcontracts with collaborators that are not employees of the Health Center, written assurance will be provided that all required information related to the use of Human Subjects in a Health Center project will have been approved by the collaborator's Institutional Review Board in accordance with 45CFR46 that has the authority to approve such actions on behalf of the collaborating institution or organization.
- 2) In the event that no written assurance is on file at the time of initiating the sub-award, the Health Center reserves the right to suspend or restrict all activities related to the use of Human Subject until such time as the collaborating organization provides such information to the Health Center.
- 3) Written assurances that a collaborator or sub-awardee has been approved for use of Human Subject shall become a part of the approved project file and a copy shall be filed with the Health Center Investigator's project assurance file.

Richard D. Berlin (signed)

8/19/04

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**Associate Dean for Research/Planning & Coordination**

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**Date**

Peter J. Deckers, MD (signed)

8/19/04

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**Executive Vice President for Health Affairs**

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**Date**

**NEW POLICY**

**Effective: June 1, 2004**