



University of Connecticut Health Center

POLICY NUMBER 2007-02

March 27, 2007

POLICY: Internal Investigation Policy – Office of Audit, Compliance and Ethics

PURPOSE: The University of Connecticut Health Center (UCHC) is committed to conducting its affairs in accordance with its core values as stated in its Code of Conduct and as required by federal, state, and local laws, regulations and University policy. The UCHC Compliance Office strives to prevent, detect and, in a timely manner, correct violations of law or policy, which may result from mistake, inadvertence, lack of information, or deliberate misconduct. This policy establishes an administrative process for dealing with allegations of misconduct so that the integrity of the conduct of business at UCHC may be preserved.

SCOPE: All faculty, administrators and staff of the UCHC.

POLICY STATEMENT:

1. The UCHC Compliance Office staff must follow the Office of Audit, Compliance and Ethics Protocol titled, “Investigation Protocol” for all concerns reported to them.
2. This protocol can be found at: http://www.audit.uconn.edu/doc/internal_investigation_protocol.pdf

Iris Mauriello (signed)

4/16/07

Corporate Compliance Integrity and Privacy Officer

Date

Peter Deckers, M.D. (signed)

4/18/07

Executive Vice President for Health Affairs

Date

NEW POLICY: (March 27, 2007)